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FORM PTO 1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 027841.0101-US00	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO (If known, see 37 CFR 1.5)	
INTERNATIONAL APPLICATION NO. PCT/HU00/00061		INTERNATIONAL FILING DATE 28/06/2000		PRIORITY DATE CLAIMED 29/06/1999	
TITLE OF INVENTION METHOD FOR PREPARING POLYCATION BASED BIOCONJUGATES SUITABLE FOR TRANSPORTING DIFFERENT KINDS OF ACTIVE SUBSTANCES WITHIN THE BODY					
APPLICANT(S) FOR DO/EO/US Peter Szego					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371
- 3 ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4 ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5 ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6 ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7 ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9 ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- 10 ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- 11 ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12 ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
- 13 ☐ A **FIRST** preliminary amendment.
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- 15 ☐ A substitute specification.
- 16 ☐ A change of power of attorney and/or address letter.
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- 18 ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19 ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)
- 20 ☒ Other items or information: Form PCT/IB/308 dated 4 January 2001; Form PCT/IB/304 dated 3 November 2000; IPER and annex dated 17 December 2001

REGISTRATION NUMBER

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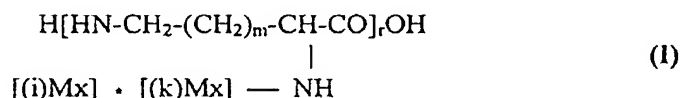
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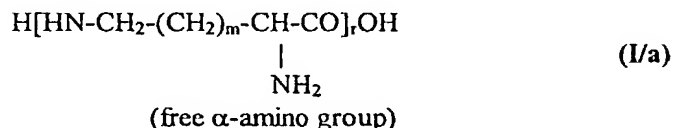
**METHOD FOR PREPARING POLYCATION BASED BIOCONJUGATES SUITABLE FOR TRANSPORTING DIFFERENT KIND OF ACTIVE SUBSTANCES WITHIN THE BODY**

Subject of the present invention is the preparation of polycation based bioconjugates that are suitable for transporting active substances of different type within the body, that is for functioning as carriers.

New polycation bioconjugates according to the invention are prepared by coupling [(k)Mx] and/or [(i)Mx] molecules, bearing functional groups appropriate for conjugation – which may either be identical ones or of (two or more i.e. "x") different kind – to a given representative of isopolypeptide polycations, having free α-amino groups, as carrier molecules, by chemical bonds; and the bioconjugates synthesized this way can be described by the general formula (I):



and within the polycation bioconjugates there are isopolypeptide polycation carrier molecules (further on: carrier molecules), having free α-amino groups, that can be described by the general formula (I/a):



and in each carrier molecule of general formula (I/a) there are monomers of the same configuration (i.e. either D-, or L-), and the individual monomers are not linked together by their amino groups in the α-positions, but by their in other amino groups (i.e. in β-, γ-, δ-, ε etc.) positions, according to the value of "m", and their structures are therefore divergent from those of the polypeptides build up by customary α-amino-peptide bonds, generally occurring in mammal organisms;

wherein:

"r" is a mean value between 20 and 400;

"m" = 0, 1, 2, 3, ... k;

"[(k)Mx]" designates enhancer molecules and/or connecting molecules conjugated by covalent (= k) bonds to the isopolypeptide polycation carrier molecule, and

"[(i)Mx]" designates enhancer molecules conjugated by ionic (= i) bonds to the isopolypeptide polycation carrier molecule, whereas the said enhancer molecules and connecting molecules having appropriate functional groups for conjugation may either be identical ones or of (two or more i.e. "x") different kind and the enhancer molecules can be conjugated

- directly and/or
- indirectly through a connecting molecule,

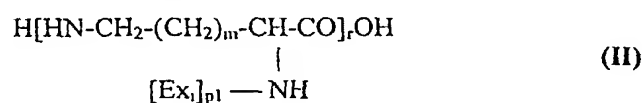
and further the joint occurrence of [(k)Mx] and [(i)Mx] within the same polycation bioconjugate is symbolized by [(k/i)Mx]. On the basis of the general formula (I) of the new polycation bioconjugates according to the invention further molecules of general formulae (II), (III), (IV),

(VI), (VII), (IX), (X), (XI) and of schematic formulae (V), (VIII), (IX/a), (X/a), (XI/a) can be derived.

In case the  $[Ex_i]$  enhancer molecules - which may either be identical ones or of (two or more i.e. "x") different kind - are directly conjugated to a given representative of carrier molecules of general formula (I/a), by covalent bonds, then:

$$[(k)Mx] = [Ex_i]_{p1},$$

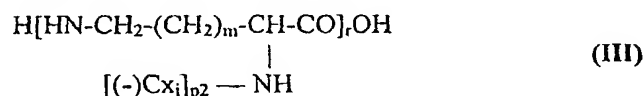
and the new polycation bioconjugates are being described by the general formula (II):



In case the  $[(-)Cx_j]$  connecting molecules of exclusively anionic character - which may either be identical ones or of (two or more i.e. "x") different kind - are conjugated to a given representative of carrier molecules of general formula (I/a), by covalent bonds, an additional possibility arises to establish ionic bonds with cations - which may either be identical ones or of (two or more i.e. "x") different kind - and then:

$$[(k)Mx] = [(-)Cx_j]_{p2},$$

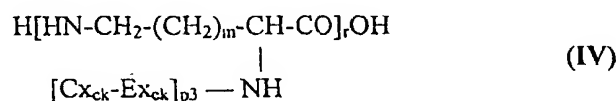
and the new conjugates are being described by the general formula (III):



In case the  $[Ex_{ck}]$  enhancer molecules - which may either be identical ones or of (two or more i.e. "x") different kind - are indirectly conjugated by covalent bonds to a given representative of carrier molecules of general formula (I/a), through  $[Cx_{ck}]$  connecting molecules - which may also be either identical ones or of (two or more i.e. "x") different kind - then:

$$[(k)Mx] = [Cx_{ck}-Ex_{ck}]_{p3},$$

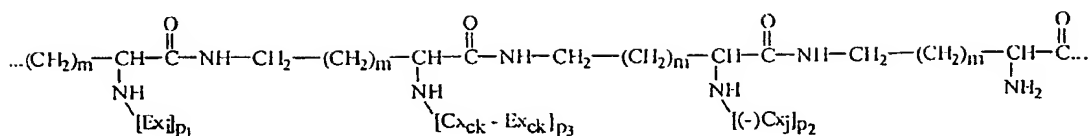
and the new polycation bioconjugates are being described by the general formula (IV):



In the case when  $[Ex_i]$  and/or  $[Cx_{ck}-Ex_{ck}]$  enhancer molecules and/or  $[(-)Cx_j]$  connecting molecules of anionic character are also conjugated to a given representative of carrier molecules of general formula (I/a), and furthermore from among "p<sub>1</sub>, p<sub>2</sub> and p<sub>3</sub>" the value of at least two are greater than 0, then:

$$[(k)Mx] = [Ex_i]_{p1} + [Cx_{ck}-Ex_{ck}]_{p3} + [(-)Cx_j]_{p2},$$

and the new polycation bioconjugates are being described by the schematic formula (V):



(V)

wherein:

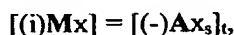
- "Ex" in  $[\text{Ex}]_{p_1}$  designates the Ex enhancer molecules of different ("x") kind conjugated directly to a given representative of carrier molecules of general formula (I/a), by covalent bonds, and
- "i" indicates whether the Ex enhancer molecules, conjugated to the given carrier molecule by covalent bonds, are identical ones ( $i = 1$ ), or they are of different kind, of number "i" ( $i = 2, 3, \dots$  "x" kind); and
- "(-)Cx" in  $[(-)\text{Cx}]_{p_2}$  designates (-)Cx connecting molecules of exclusively anionic character, of different ("x") kind conjugated to a given representative of carrier molecules of general formula (I/a) by covalent bonds, in order to make it capable for establishing ionic bonds with cations, and
- "j" indicates whether the (-)Cx connecting molecules, conjugated to the given carrier molecule by covalent bonds, are identical ones ( $j = 1$ ), or they are of different kind, of number "j" ( $j = 2, 3, \dots$  "x"); and
- "Cx-Ex" in  $[\text{Cx}_{ck} - \text{Ex}_{ck}]_{p_3}$  designates the Ex enhancer molecules of different ("x") kind, conjugated by covalent bonds indirectly, through Cx connecting molecules of different ("x") kind, and these Cx molecules are also conjugated by covalent bonds to a given representative of carrier molecules of general formula (I/a), and
- "ck" indicates whether the Cx connecting molecules, conjugated to a given carrier molecule by covalent bonds, are identical ones ( $ck = 1$ ), or they are of different kind, of the number "ck" ( $ck = 2, 3, \dots$  "x"), and these Cx connecting molecules – practically depending on the structure of the Ex enhancer molecules – may be neutral and/or of anionic and/or of cationic character,
- "ek" indicates whether the Ex enhancer molecules, conjugated to a given carrier molecule indirectly through "Cx" connecting molecules by covalent bonds, are identical ones ( $ek = 1$ ), or they are of different kind, of the number "ek" ( $ek = 2, 3, \dots$  "x").

Furthermore the degree of saturation in % of a given representative of carrier molecule of general formula (I/a) by  $[\text{Ex}]_{p_1}$  and/or  $[\text{Cx}_{ck} - \text{Ex}_{ck}]_{p_3}$  enhancer molecules and/or  $[(-)\text{Cx}]_{p_2}$  connecting molecules are given by the different values of " $p_1$ ,  $p_2$  and  $p_3$ ", whereas the summarized value of " $p_1 + p_2 + p_3$ " within one given polycation bioconjugate is  $> 0$  and  $\leq 100$ ; whereby the ratio between the free (not involved in peptide bonds) and bound  $\text{NH}_2$ -groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates; and thus

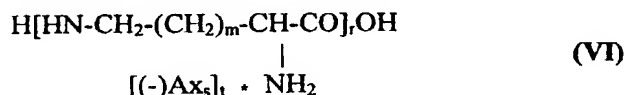
- " $p_1$ " indicates a degree of saturation in % of a carrier molecule of general formula (I/a) with  $[\text{Ex}]$  enhancer molecules,
- " $p_2$ " indicates a degree of saturation in % of a carrier molecule of general formula (I/a) with  $[(-)\text{Cx}]$  connecting molecules of exclusively anionic character,
- " $p_3$ " indicates a degree of saturation in % of a carrier molecule of general formula (I/a) with  $[\text{Cx}_{ck} - \text{Ex}_{ck}]$  enhancer molecules which are bound to connecting molecules,

and on the basis of the above, in the schematic formula (V) " $p_1 + p_2 + p_3 > 0$  and  $\leq 100$ ", and from among " $p_1$ ,  $p_2$  and  $p_3$ " the value of at least two are greater than 0; further in a given polycation bioconjugate, the Ex molecules in  $[Ex_i]$  and the  $(-)Cx$  molecules in  $[(-)Cx_j]$  are not necessarily identical with those Ex and Cx molecules occurring in  $[Cx_{ek}-Ex_{ek}]$ , which divergence is symbolized by "x", and will be dealt with later at the examples of suitably selected enhancer molecules, further "r" and "m" have the same meaning as in general formula (I).

In case the  $[(-)Ax_s]$  enhancer molecules of anionic character – which may either be identical ones or of (two or more i.e. "x") different kind – are directly conjugated by ionic bonds to the free  $\alpha$ -amino groups of a given representative of carrier molecules of general formula (I/a), then:



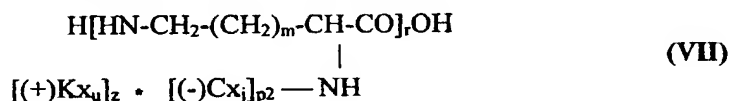
and the new polycation bioconjugates are being described by the general formula (VI):



In case the  $[(+)Kx_u]$  enhancer molecules of cationic character – which may either be identical ones or of (two or more i.e. "x") different kind – are conjugated indirectly through  $[(-)Cx_j]$  connecting molecules of exclusively anionic character – which may either be identical ones or of (two or more i.e. "x") different kind – by ionic bonds to a given representative of conjugates of general formula (III), then:



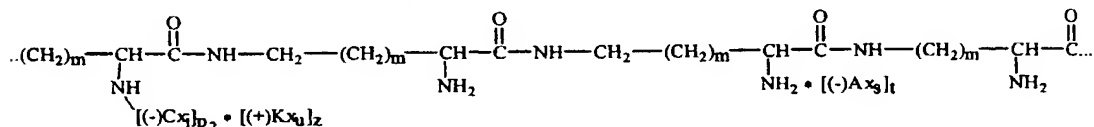
and the new polycation bioconjugates are being described by the general formula (VII):



In case additional  $[(-)Ax_s]$  enhancer molecules of anionic character – which may either be identical ones or of (two or more i.e. "x") different kind – are conjugated directly by ionic bonds to the free  $\alpha$ -amino groups of a given representative of polycation bioconjugates of general formula (VII), then:



and the new polycation bioconjugates are being described by the schematic formula (VIII):

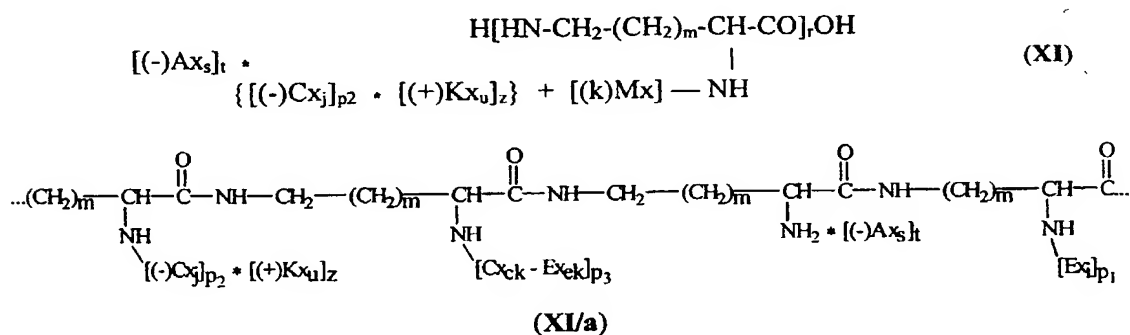


(VIII)



$$[(k/i)Mx] = [Ex_i]_{p1} + \{ [(-)Cx_j]_{p2} \cdot [(+)Kx_u]_z \} \cdot [(-)Ax_s]_t \text{ or } \\ [C_{xck}-Ex_{ck}]_{p3} + \{ [(-)Cx_j]_{p2} \cdot [(+)Kx_u]_z \} \cdot [(-)Ax_s]_t \text{ or } \\ [Ex_i]_{p1} + [C_{xck}-Ex_{ck}]_{p3} + \{ [(-)Cx_j]_{p2} \cdot [(+)Kx_u]_z \} \cdot [(-)Ax_s]_t,$$

and the polycation bioconjugates are being described by the general formula (XI), or by the schematic formula (XI/a):



wherein:

"(-)Ax" in  $[(-)Ax_s]_t$  designates the (-)Ax enhancer molecules of anionic character, of different ("x") kind conjugated directly to a given representative of carrier molecules of general formula (I/a), by ionic bonds, and

"s" indicates whether the (-)Ax anionic/polyanionic molecules, conjugated to a given polycation carrier molecule by ionic bonds, are identical ones ( $s = 1$ ), or, they are of different kind, of number "s" ( $s = 2, 3, \dots$  "x" kind), and

"(+)"Kx" in  $[(+)Kx_u]_z$  designates (+)Kx enhancer molecules of different ("x") kind of cationic character that are conjugated indirectly by ionic bonds, through  $[(-)Cx_j]$  connecting molecules of different ("x") kind of anionic character, to a given representative of carrier molecules of general formula (I/a), that is essentially to a conjugate of general formula (III), and

"u" indicates whether the (+)Kx cations and/or polycations, conjugated to a given compound of general formula (III) by ionic bonds, are identical ones ( $u = 1$ ), or they are of different kind of number "u" ( $u = 2, 3, \dots$  "x" kind), and furthermore

"t" indicates a degree of saturation in % of a carrier molecule of general formula (I/a) with  $[(-)Ax_s]$  enhancer molecules, and

"z" indicates a degree of saturation in % of a polycation bioconjugate general formula (I), or a carrier molecule of general formula (I/a) with  $[(+)Kx_u]$  enhancer molecules, which are conjugated indirectly through  $[(-)Cx_j]$  connecting molecules of anionic character, and

"t" in general formula (VI), and

"z" in general formula (VII), and

"t"+"z" in schematic formula (VIII), and

"t"+"p<sub>1</sub>" or "t"+"p<sub>3</sub>" or "t"+"p<sub>1</sub>"+"p<sub>3</sub>" in general formula (IX) and in schematic formula (IX/a), and

"z"+"p<sub>1</sub>" or "z"+"p<sub>3</sub>" or "z"+"p<sub>1</sub>"+"p<sub>3</sub>" in general formula (X) and in schematic formula (X/a), and

"t"+"z"+"p<sub>1</sub>" or "t"+"z"+"p<sub>3</sub>" or "t"+"z"+"p<sub>1</sub>"+"p<sub>3</sub>" in general formula (XI) and in schematic formula (XI/a), and the value of each of these sums is between  $>0$  and  $\leq 100$ ; furthermore



according to these the  $\text{NH}_2$ -groups which are not saturated by "t" and/or "z" and/or " $p_1$ " and/or " $p_3$ " will remain free, whereby the ratio between the free (not involved in chemical bonds) and bound  $\text{NH}_2$ -groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates, whereas "z" indicates the degree of saturation of carrier molecules with  $[(+)\text{Kx}_u]$  cationic enhancer molecules that are conjugated indirectly through  $[(-)\text{Cx}_j]$  connecting molecules, therefore "z" = " $p_2$ "; furthermore

"r" and "m" and " $[(k)\text{Mx}]$ " have the same meaning as in general formula (I),

" $[\text{Ex}_i]_{p1}$ " has the same meaning as in general formula (II),

" $[(-)\text{Cx}_j]_{p2}$ " has the same meaning as in general formula (III),

" $[\text{Cx}_{ck}-\text{Ex}_{ck}]_{p3}$ " has the same meaning as in general formula (IV).

Novelty of the polycation bioconjugates of general formula (I), subject of the present invention, consists in that there are isopolyptides having free  $\alpha$ -amino groups, as polycation carrier molecules in them, the synthesis of which is being carried out by coupling the diamino-monocarbonic acid monomers that build up these isopolyptides, not by their amino groups in  $\alpha$ -positions, but their amino groups in other ( $\beta$ -,  $\gamma$ -,  $\delta$ -,  $\epsilon$ -...etc.) positions, and the method used for preparation of these carrier molecules has been disclosed in the patent specification HU 202553 B, with the priority of 21.10.1987, titled: "Process for preparation of isopolyptides from diamino-monocarbonic acids and of drugs containing them, and a plant protection agent containing polyisolsine", further in the paper of Szókán et al.: "Structure Determination and Synthesis of Lysine Isopeptides Influencing on Cell Proliferation" (Biopolymers, J. Wiley & Sons, Inc. 42:305-318, 1997).

To a given representative of carrier molecules of general formula (I/a) within the new polycation bioconjugates of general formula (I), prepared according to the invention, practically any organic and/or inorganic molecule having functional groups appropriate for conjugation can be coupled as a suitably selected enhancer molecule, in accordance with the method shown by general formulae (II), (III), (IV), (VI), (VII), (IX), (X), (XI) and by schematic formulae (V), (VIII), (IX/a), (X/a), (XI/a). All these enhancer molecules can favourably be chosen – with a non-limiting manner – from the group of compounds listed hereinbelow:

- hormones and hormone antagonists of different kind (steroid, protein, peptide, etc.), and active fragments of peptide hormones, and derivatives thereof;
- saturated and unsaturated fatty acids, cholesterol, phospholipides (phosphoglycerides, sphingomyelins, etc.), and derivatives thereof;
- nucleic acids/antisense nucleotides;
- monosaccharides, oligosaccharides, and polysaccharides, and derivatives thereof;
- vitamins, and their derivatives;
- known antitumor drugs and active substances, and derivatives thereof;
- amino acids, oligopeptides, polypeptides, further glycoproteins and lipoproteins, their fragments, and derivatives thereof.

The new polycation bioconjugates of general formula (I) prepared according to the method described in the invention, contain carrier molecules of general formula (I/a), and a given representative of these carrier molecules is conjugated by chemical (covalent and/or ionic) bonds with enhancer molecules, which are suitably selected, according to the intended effect (for example antiproliferative, antimicrobial, gene delivery, improving of the quality of the diagnostic magnetic resonance imaging, etc.), and these enhancer molecules may either be identical ones or of (two or

more i.e. "x") different kind, and due to the applicability of multiple enhancer molecules in a given polycation bioconjugate of general formula (I), manifold direct and/or indirect effects can be obtained simultaneously. A few examples for the compounds that can favourably be applied to this purpose are listed hereinbelow.

Compounds comprising a part of the direct enhancer molecules – with non-limiting character:

- compounds having antiproliferative effects, for example: cytostatics used in the clinical practice, furthermore cytokines, which influence the division and differentiation of the cells (for example different growth factors, as well as antibodies produced against the receptors of these factors, interferons, etc.), furthermore peptides/proteins which inhibit the formation of new blood-vessels around the tumor cells (angiostatins, endostatins), furthermore nucleic acids/antisense oligonucleotides exerting antiproliferative effects on the malignantly transformed cells;
- compounds having antimicrobial effects, for example: antiviral, antibacterial, antimycotical, antiprotozooneal, etc. compounds, used in the clinical practice, furthermore nucleic acids/complexed antisense oligonucleotides, which inhibit the replication of the microbes;
- nucleic acids isolated or synthesized for the purpose of gene transfer, which are suitable for treating genetic diseases (for example cystic fibrosis);
- compounds improving the quality of the diagnostic magnetic resonance imaging, for example: paramagnetic metal ions and complexes containing metal ions of that kind, especially molecule complexes of gadolinium (Gd) ion (for example dimeglumine salt of Gd-diethylene-triamine-pentaacetic acid);
- compounds having immunomodulant effects (for example interleukins, tumor necrosis factors, etc.) which control a given function of the immune system; and
- numerous suitably selected compounds with other effects, not defined herein, that can be used with definite purposes, as enhancer molecules.

Compounds comprising a part of the indirect enhancer molecules, which develop or increase selectivity – with non-limiting character:

- in relation to the antiproliferative effects, for example: monoclonal antibodies having specific affinities to a surface antigen of a given tumor cell, as well as antibodies or any compound having affinity to those kind of receptors (for example transferrin receptor or folate receptor among the vitamins, etc.) which are present in a greater ratio on the surface of the tumor cells than of the normal (not malignantly transformed) cells;
- furthermore in the relation to the antiproliferative and any other effects, aimed at, any compound which has specific affinity to a certain receptor occurring exclusively on the surface of a given normal cell only (this receptor does not exist as a result of a pathological process), namely for example the asialoglycoprotein cell surface receptors of the hepatic cells (to which specifically links the terminal galactose of the macromolecules), or any other compound coupling receptors, which are present in greater ratio on the surface of a given target cells;
- in general compounds which may link to a given target cell (for example microbes or infected cells with microbes, etc.) to achieve indirect enhancer effect.

Compounds comprising a part of the direct and simultaneously indirect enhancer molecules, with non-limiting character:

- in relation to the antiproliferative effects, for example: hormones, hormone antagonists and derivatives thereof, especially from among the polypeptide hormones the humane choriogonadotropine hormone, which having antiproliferative effects, furthermore antibodies produced against receptors of growth factors of different kind, which are present in greater ratio on the surface of a given tumor cell than on other cells, and simultaneously exert

- antiproliferative effects towards given malignantly transformed cells, furthermore immunotoxines, which are produced against a given tumor cell;
- in relation to the antimicrobial effects, for example: neutralizing antibodies which are produced against a given microbes (for example viruses, bacteria, fungi, etc.), furthermore immunotoxines, which are produced against a given microbe.

New polycation bioconjugates of general formula (I) prepared according to the invention contain carrier molecules of general formula (I/a), and a given representative of these carrier molecules is conjugated with enhancer molecules – which may either be identical ones or of (two or more i.e. "x") different kind – that are suitably selected according to the above mentioned examples, and the conjugation of these enhancer molecules are symbolized by  $[Ex_i]_{p1}$  and/or  $[...-Ex_{ek}]_{p3}$  which indicates that the molecules are coupled by covalent bonds, furthermore  $[(-)Ax_s]_l$  having anionic character and/or  $[(+ )Kx_u]_z$  having cationic character indicate the molecules that are coupled by ionic bonds.

New polycation bioconjugates of general formula (I) prepared according to the invention contain carrier molecules of general formula (I/a), and a given representative of these carrier molecules is conjugated with enhancer molecules – which may either be identical ones or of (two or more i.e. "x") different kind – that are suitably selected according to the above mentioned examples, and the enhancer molecules can be conjugated directly and/or indirectly through connecting molecules, and the latter can couple enhancer molecules covalently or ionically, symbolized by  $[Cx_{ek}...]_{p3}$  of the covalent ones, and by  $[(-)Cx_j]_{p2}$  of the ionic ones, respectively, and these connecting molecules may suitably be chosen – with non-limiting character – from dicarbonic acids, tricarbonic acids, carbohydrates, or amino acids, or peptide chain elongators.

New polycation bioconjugates of general formula (I) prepared according to the invention contain carrier molecules of general formula (I/a), and a given representative of these carrier molecules is conjugated with enhancer molecules – which may either be identical ones or of (two or more i.e. "x") different kind – that are suitably selected according to the above mentioned examples, and the conjugation of the enhancer molecules by covalent and/or ionic chemical bonds takes place directly and/or indirectly, in a determined ratio, preferably to reach a saturation of 10 to 100 %.

Preferred representatives of carrier molecules of general formula (I/a) within the new polycation bioconjugates of general formula (I), according to the invention include those 60 – 120 membered, non-racemic polyiso-L-lysines, i.e. poly( $\epsilon$ )-L-lysine-hydrogen-bromides which themselves possess certain antiproliferative and antiviral effects, as it is disclosed in the patent specification HU 202553 B, of the Hungarian priority of October 21, 1987.

Subject of the invention is the recognition that each of the new polycation bioconjugates of general formula (I), prepared according to the method described in the present invention, contains carrier molecules of general formula (I/a), and these carrier molecules (which themselves possess certain antiproliferative effects) are conjugated by chemical bonds with compounds having antiproliferative effects (some compounds, suitably selected to this purpose are listed above among the direct enhancer molecules), and the bioconjugates so obtained, are being successfully applicable for the treatment of malignancies, developing in mammal organisms (further: tumors), *in se*, or combined with known tumor-inhibiting methods, accepted in the clinical practice.

The additional enhancer molecules, resulting in developing an appropriately chosen selectivity, that have particularly been disclosed hereinabove (among the indirect enhancer molecules), linked to the bioconjugates prepared according to the invention, are increasing the concentration

of active substances in the tumors, whereby the unwanted side-effects can be diminished, and thus the effectiveness of the treatment may further be increased.

Conjugates similar to those new polycation bioconjugates of antiproliferative effect, according to the present invention, have already been prepared earlier. Papers have also been published about them, from among which we would refer to some as follows hereinbelow:

- Bogdanov-A.A. Jr., Martin-C., Bogdanova-A.V. et al.: An adduct of cis-diammine-dichloroplatinum(II) and poly(ethylene glycol)poly(L-lysine)-succinate: synthesis and cytotoxic properties; *Bioconjug-Chem.* 1996 Jan-Feb; 7(1): 144-9.
- Di-Stefano-G., Busi-C., Derenzini-M. et al.: Conjugation of 5-fluoro-2'-deoxyuridine with lactosaminated poly-L-lysine to reduce extrahepatic toxicity in the treatment of hepatocarcinomas; *Ital-J-Gastroenterol-Hepatol.* 1998 Apr; 30(2): 173-7.
- Paprocka-M., Boratynski-J., Dus-D. et al.: Conjugation of the monoclonal antibody 17-1A with the nitroacridine compound C921 with the poly-L-lysine as an intermediate agent; *Arch-Immunol-Ther-Exp-Warsz.* 1997; 45(4): 343-9.
- Salazar-A.M., Levy-H.B., Ondra-S. et al.: Long-term treatment of malignant gliomas with intramuscularly administered polyinosinic-polycytidylic acid stabilized with polylysine and carboxymethylcellulose: an open pilot study; *Neurosurgery.* 1996 Jun; 38(6): 1096-103; discussion 1103-4.

Another recognition contained in the present invention is the feature of the carrier molecules of general formula (I/a), in the new polycation bioconjugates of general formula (I), that as being polycations, they are appropriate for transporting of, as well as introducing to the target cells the suitably selected nucleic acids of polyanionic character, as enhancer molecules, bound to them by ionic bonds, i.e. for gene transfer, using the effect that by conjugating further enhancer molecules by covalent bonds – details of which see hereinabove – resulting in developing an appropriately chosen selectivity, the new polycation bioconjugates are being linked selectively to the target cells, or in essentially higher ratio to them than to cells of other type.

Conjugates similar to those new polycation bioconjugates, according to the present invention, capable of gene transfer, have already been prepared earlier. Papers have been published about them in the scientific literature, from among which we would refer to some publications – as examples – hereinbelow:

- Erbacher-P., Roche-A.C., Monsigny-M., Midoux-P.: The reduction of the positive charges of polylysine by partial gluconoylation increases the transfection efficiency of polylysine/DNA complexes; *Biochim. Biophys.Acta.* 1997 Feb 21; 1324(1): 27-36.
- Ferkol-T., Perales-J.C., Mularo-F., Hanson-R.W.: Receptor-mediated gene transfer into macrophages; *Proc-Natl-Acad-Sci-USA.* 1996 Jan 9; 93(1): 101-5.
- Kollen-W., Erbacher-P., Midoux-P. et al.: Glycosylated polylysines. Nonviral vectors for gene transfer into cystic fibrosis airway epithelial cells; *Chest.* 1997 Jun; 111(6 Suppl): 95S-96S.
- Liang-W.W., Shi-X., Deshpande-D. et al.: Oligonucleotide targeting to alveolar macrophages by mannose receptor-mediated endocytosis; *Biochim-Biophys-Acta.* 1996 Mar 13; 1279(2): 227-34.
- Schneider-H., Husc-K., Birkenmeier-G. et al.: Gene transfer mediated by alpha2-macroglobulin; *Nucleic-Acids-Res.* 1996 Oct 1; 24(19): 3873-4.
- Schwarzenberger-P., Spence-S.E., Gooya-J.M. et al.: Targeted gene transfer to human hematopoietic progenitor cell lines through the c-kit receptor; *Blood.* 1996 Jan 15; 87(2): 472-8.

- Sosnowski-B.A., Gonzalez-A.M., Chandler-L.A. et al.: Targeting DNA to cells with basic fibroblast growth factor (FGF2); *J-Biol-Chem.* 1996 Dec 27; 271(52): 33647-53.
- Stewart-A.J., Pichon-C., Meunier-L. et al.: Enhanced biological activity of antisense oligonucleotides complexed with glycosylated poly-L-lysine; *Mol-Pharmacol.* 1996 Dec; 50(6): 1487-94.

Furthermore the new polycation bioconjugates which are suitable for gene transfer in the case of further conjugation with compounds having antiproliferative effects (the suitably selected compounds, detailed among the direct enhancer molecules) are suitable for more effective treatment of the tumors. Scientific reprints have been also published about these, from among which we refer to some as follows hereinbelow:

- Cristiano-R.J., Roth-J.A.: Epidermal growth factor mediated DNA delivery into lung cancer cells via the epidermal growth factor receptor; *Cancer-Gene-Ther.* 1996 Jan-Feb; 3(1): 4-10.
- Foster-B.J., Kern-J.A.: HER2-targeted gene transfer; *Hum-Gene-Ther.* 1997 Apr 10; 8(6): 719-27.
- Ginobbi-P., Geiser-T.A., Ombres-D., Citro-G.: Folic acid-polylysine carrier improves efficacy of c-myc antisense oligodeoxynucleotides on human melanoma (M14) cells; *Anticancer-Res.* 1997 Jan-Feb; 17(1A): 29-35.
- Nguyen-D.M., Wiehle-S.A., Roth-J.A., Cristiano-R.J.: Gene delivery into malignant cells in vivo by a conjugated adenovirus/DNA complex; *Cancer-Gene-Ther.* 1997 May-Jun; 4(3): 183-90.
- Schachtschabel-U., Pavlinkova-G., Lou-D., Kohler-H.: Antibody-mediated gene delivery for B-cell lymphoma in vitro; *Cancer-Gene-Ther.* 1996 Nov-Dec; 3(6): 365-72.
- Shimizu-N., Chen-J., Gamou-S., Takayanagi-A.: Immunogene approach toward cancer therapy using erythrocyte growth factor receptor-mediated gene delivery; *Cancer-Gene-Ther.* 1996 Mar-Apr; 3(2): 113-20.
- Watanabe-N., Sato-Y., Yamauchi-N., Niitsu-Y.: Gene delivery into human cancer cells via transferrin receptor; *Nippon-Rinsho.* 1998 Mar; 56(3): 724-30.

Another recognition also belongs to the subject of the present invention, namely that each of the new polycation bioconjugates of general formula (I) prepared according to the method described in the invention, contains carrier molecules of general formula (Ia) and these carrier molecules (which possess certain antiviral effects themselves) are conjugated, by chemical bond, with suitably selected compounds having antiviral effects, as direct enhancer molecules, and due to this, increase the antiviral effect of the new polycation bioconjugates.

New polycation bioconjugates which are produced in the way described in the paragraph before, conjugated furthermore, by chemical bond, with suitably selected compounds which develop or increase selectivity to the target cells which are infected by the virus (the suitably selected compounds detailed among the indirect enhancer molecules) are suitable to increase the relative concentration of the new antiviral character polycation bioconjugates in the cells which are infected by the virus and due to it the efficacy of the treatment will be increased and side-effects greatly diminished. Conjugates similar to those new polycation bioconjugates of antiviral effect, according to the present invention, have already been prepared earlier. Papers have also been published about them, from among which we would refer to some as follows hereinbelow:

- Di-Stefano-G., Colonna-F.P., Bongini-A. et al.: Ribavirin conjugated with lactosaminated poly-L-lysine: selective delivery to the liver and increased antiviral activity in mice with viral hepatitis; *Biochem-Pharmacol.* 1997 Aug 1; 54(3): 357-63.

- Fiume-L., Di-Stefano-G., Busi-C. et al.: Liver targeting of antiviral nucleoside analogues through the asialoglycoprotein receptor; *J-Viral-Hepat.* 1997; 4(6): 363-70.
- Fiume-L.; Di-Stefano-G.; Busi-C. et al.: Hepatotropic conjugate of adenine arabinoside monophosphate with lactosaminated poly-L-lysine. Synthesis of the carrier and pharmacological properties of the conjugate; *J-Hepatol.* 1997 Feb; 26(2): 253-9.
- Nakazono-K., Ito-Y., Wu-C.H., Wu-G.Y.: Inhibition of hepatitis B virus replication by targeted pretreatment of complexed antisense DNA in vitro; *Hepatology.* 1996 Jun; 23(6): 1297-303.

Another recognition also belongs to the subject of the present invention, namely that each of the new polycation bioconjugates of general formula (I) prepared according to the method described in the invention, contains carrier molecules of general formula (I/a) and these carrier molecules are conjugated, by chemical bond, with compounds having different kind of antimicrobial effects (the suitably selected compounds, detailed among the direct enhancer molecules), and due to this, the new polycation bioconjugates significantly increase the antimicrobial effects surpassing the antiviral character, which was described in the three previous paragraph.

New polycation bioconjugates which are produced in the way described in the paragraph before, conjugated furthermore, by chemical bond, with compounds which develop or increase selectivity to the target cells which are infected by different kind of microbas (the suitably selected compounds, detailed among the indirect enhancer molecules) are suitable to increase the relative concentration of the antimicrobial character polycation bioconjugates in the cells which are infected by the given microbas and due to this the efficacy of the treatment will be increased and side-effects greatly diminished.

Another recognition also belongs to the subject of the present invention, namely that each of the new polycation bioconjugates of general formula (I) prepared according to the method described in the invention, contains carrier molecules of general formula (I/a) and these carrier molecules are conjugated, by chemical bond, with macromolecular paramagnetic contrast agents for example the gadolinium molecule complexes, and derivatives thereof, (the suitably selected compounds, detailed among the direct enhancer molecules), and due to this, the new polycation bioconjugates significantly improve the quality of the diagnostic magnetic resonance imaging, by increasing the contrast between the different kind of organs, tissues, as well as the different pathological alterations, for example tumors.

New polycation bioconjugates which are produced in the way described in the paragraph before, conjugated furthermore, by chemical bond, with compounds which develop or increase selectivity to the different kind of target organs or different pathological alterations (the suitably selected compounds, detailed among the indirect enhancer molecules), are suitable to increase significantly the relative concentration of the paramagnetic contrast character polycation bioconjugates in the different kind of organs, tissue, as well as, the different pathological alterations, and due to this further improve the quality of the magnetic resonance imaging.

Conjugates similar to those new polycation bioconjugates of paramagnetic character, according to the present invention, have already been prepared earlier. Papers have also been published about them, from among which we would refer to some as follows hereinbelow:

- Opsahl-L.R., Uzgiris-E.E., Vera-D.R.: Tumor imaging with a macromolecular paramagnetic contrast agent: gadopentetate dimeglumine-polylysine; *Acad-Radiol.* 1995 Sep; 2(9): 762-7.

- Su-M.Y., Muhler-A., Lao-X., Nalcioğlu-O.: Tumor characterization with dynamic contrast-enhanced MRI using MR contrast agents of various molecular weights; *Magn-Reson-Med.* 1998 Feb; 39(2): 259-69.
- Vera-D.R., Buonocore-M.H., Wisner-E.R. et al.: A molecular receptor-binding contrast agent for magnetic resonance imaging of the liver; *Acad-Radiol.* 1995 Jun; 2(6): 497-506.
- Vogl-T.J., Hoffmann-Y., Juergens-M. et al.: Experimentelle Evaluierung der kontrastmittelverstärkten, hochauflösenden MR-Angiographie am Tiermodell. Gd-DTPA gegenüber Gd-DTPA-Polylysin; *Radiologie.* 1996 Mar; 36(3): 254-62.

Another recognition also belongs to the subject of the present invention, namely that each of the new polycation bioconjugates of general formula (I) prepared according to the method described in the invention, contains carrier molecules of general formula (I/a) and these carrier molecules as polycations make suitable the polycation bioconjugates to get into the mammal organism, via transdermal transport by iontophoresis.

The new polycation bioconjugates which are transported through the skin, exert their effects mainly in the different strata of the skin, and in the subcutaneous tissues, at the area of the iontophoresis, and certain amount of them act systemic. These kind of actions depend on the molecular size, the physico-chemical character and the type of the suitably selected direct and/or indirect enhancer molecule of the polycation bioconjugates, as well as, on the nature of the applied electric field.

The concentration of the new polycation bioconjugates, which are prepared according to the therapeutical aims (for example antiproliferative or antiviral effects, etc.) and which contain the suitably selected and above detailed direct and/or indirect enhancer molecules, increases at the place of the transdermal application, and due to this the local efficacy of the treatment will be greatly increased and side-effects diminished. If the aim is to achieve a systemic effect via transdermal application, the advantage will manifest in a constant, non-invasive administration of the polycation bioconjugates, which avoid the gastro-intestinal system. Conjugates similar to those new polycation bioconjugates capable of transdermal application, according to the present invention, have already been prepared earlier. Papers have also been published about them, from among which we would refer to some as follows hereinbelow:

- Turner-N.G., Ferry-L., Price-M. et al.: Iontophoresis of poly-L-lysines: the role of molecular weight?; *Pharm-Res.* 1997 Oct; 14(10): 1322-31.
- Vanbever-R., Prausnitz-MR., Preat-V.: Macromolecules as novel transdermal transport enhancers for skin electroporation; *Pharm-Res.* 1997 May; 14(5): 638-44.

Another recognition also belongs to the subject of the present invention, namely that each of the new polycation bioconjugates of general formula (I) prepared according to the method described in the invention, contains carrier molecules of general formula (I/a), and these carrier molecules are conjugated with above detailed, direct and/or indirect enhancer molecules, which are suitably selected according to a given therapeutical purpose (for example antiproliferative or antiviral effects or gene therapy, etc.), and these new polycation bioconjugates are to be placed into cationic liposomes, and due to this the efficacy of the treatments will be increased and the side-effects greatly diminished. Conjugates similar to those new polycation bioconjugates which are suitable to be placed into cationic liposomes, according to the present invention, have already been prepared earlier. Papers have also been published about them, from among which we would refer to some as follows hereinbelow:

- Gao-X., Huang-L.: Potentiation of cationic liposome-mediated gene delivery by polycations; *Biochemistry*. 1996 Jan 23; 35(3): 1027-36.
- Lee-R.J., Huang-L.: Folate-targeted, anionic liposome-entrapped polylysine-condensed DNA for tumor cell-specific gene transfer; *J-Biol-Chem*. 1996 Apr 5; 271(14): 8481-7.
- Mack-K.D., Walzem-R.L., Lehmann-Bruinsma-K. et al.: Polylysine enhances cationic liposome-mediated transfection of the hepatoblastoma cell line Hep G2; *Biotechnol-Appl-Biochem*. 1996 Jun; 23 ( Pt 3): 217-20.
- Saldeen-J., Curiel-D.T., Eizirik-D.L. et al.: Efficient gene transfer to dispersed human pancreatic islet cells in vitro using adenovirus-polylysine/DNA complexes or polycationic liposomes; *Diabetes*. 1996 Sep; 45(9): 1197-203.
- Vitiello-L., Chonn-A., Wasserman-J.D. et al.: Condensation of plasmid DNA with polylysine improves liposome-mediated gene transfer into established and primary muscle cells; *Gene-Ther*. 1996 May; 3(5): 396-404.
- Zelphati-O., Szoka-F.C Jr.: Mechanism of oligonucleotide release from cationic liposomes; *Proc-Natl-Acad-Sci-U-S-A*. 1996 Oct 15; 93(21): 11493-8

The biologically effective conjugates which have been described in the scientific reviews of medicine, and cited above, contain carrier molecules, which are build up from diamino-monocarboxylic acid monomers, namely lysines, that are coupled to each other by peptide bonds through amino groups in the  $\alpha$ -positions, therefore as a result of their synthesis, poly-( $\alpha$ )-L-lysine is formed, and these facts support anyway the recognitions of the present invention, indirectly.

On the basis of all above aspects, the novelty of the invention is comprising in that each of the polycation bioconjugates of general formula (I), prepared according to the invention, contains carrier molecules of general formula (I/a), and these carrier molecules are build up from diamino-monocarboxylic acid monomers, which are coupled by peptide bonds formed via the amino groups in the ( $\beta$ -,  $\gamma$ -,  $\delta$ -,  $\epsilon$ -, etc.) positions, corresponding to the value of "m", and not through amino groups in the  $\alpha$ -position, and therefore, as a result of the synthesis  $\beta$ -,  $\gamma$ -,  $\delta$ -,  $\epsilon$ -, etc. polypeptides are forming, which are structurally entirely different from those polypeptides that have been described in the cited scientific reviews. The biological behaviour of the new polycation bioconjugates of general formula (I) will therefore be altered. For instance, they are more resistant against proteolytic enzymes, further the carrier molecules of general formula (I/a) themselves possess certain antiproliferative, antiviral activity, and as a consequence, the biological effectiveness of the new polycation bioconjugates of general formula (I) is being modified favourably. The new polycation bioconjugates of general formula (I) according to the invention are being formulated as pharmaceutical preparates that are applicable perorally, parenterally, or transdermally, for systemic or topical use.

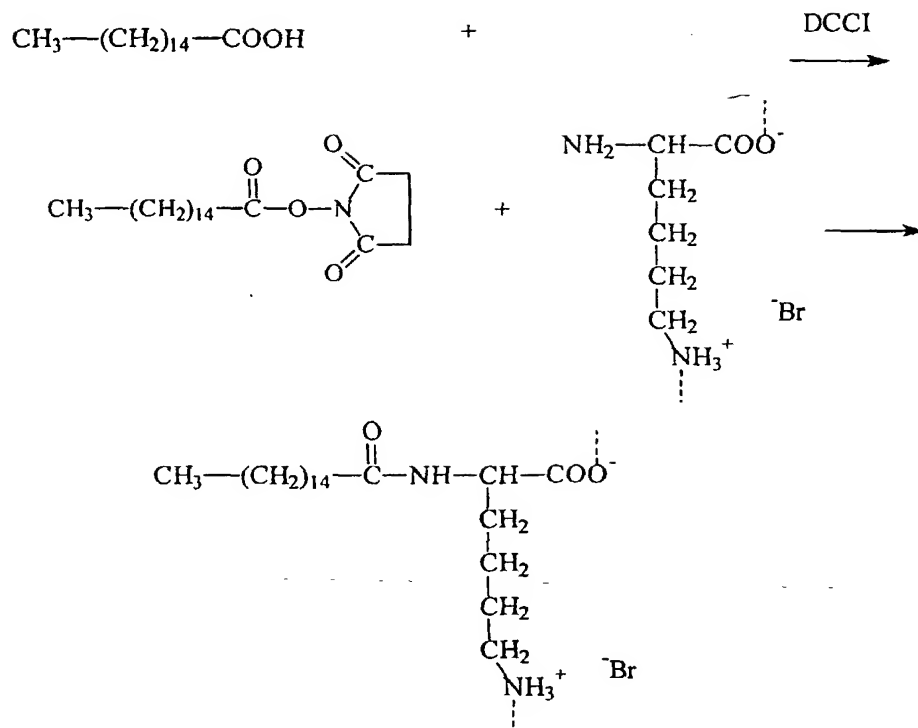
Preferred representatives of the new polycation bioconjugates of general formula (I) according to the invention are those bioconjugates, in which the carrier molecules of general formula (I/a) are poly-( $\epsilon$ )-L-lysines, whereas the preparation of some representatives thereof is illustrated by the following examples hereinbelow:

#### Example 1

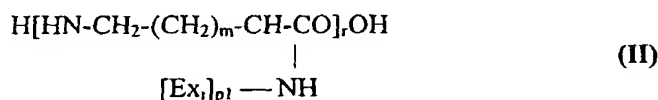
Preparation of palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide



The reaction scheme:



Formula of palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide - according to the general formula (II) of the new polycation bioconjugates of the invention - is:



wherein:

"m" = 3, "r" =  $99 \pm 2$ ,

"E" =  $\text{CH}_3-(\text{CH}_2)_{14}-\text{CO}-$ ,

"i" = 1; i.e. only one single kind of enhancer molecules is being linked by chemical bond to the carrier molecule, and

"p<sub>1</sub>" =  $12 \pm 2$  %.

- Poly-( $\epsilon$ )-L-lysine-hydrogen-bromide (mean  $M_w = 12700 \pm 200$ , specific optical rotation =  $+32,4^\circ$ , degree of polymerisation:  $R = 99 \pm 2$ ), as a carrier molecule according to the invention, of the general formula (I/a), was synthesized following the method described in Example 1 i) of the Hungarian patent specification HU 202553 B.
- preparing of palmitoyl-N-hydroxy-succinimide needed to the palmitoylation reaction: 1,28 g (5 mmole) of palmitoic acid and 0,58 g (5 mmole) N-hydroxy-succinimide were dissolved in 12 ml of abs. tetrahydrofuran, and to this solution 1,03 g (5 mmole) of dicyclohexyl-

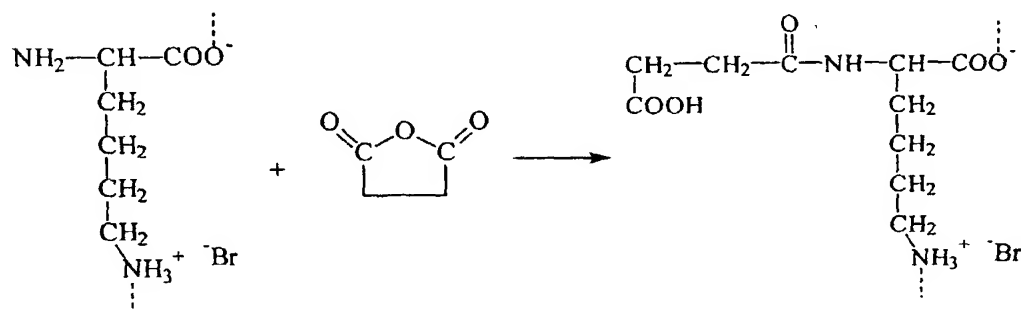
carbodiimide was added, then the mixture was stirred for 4 hours at 0°C, subsequently left standing at +4°C for 12 hours and the precipitated dicyclohexyl-carbamide that formed during the process was glass-filtered in vacuo and was washed three times with tetrahydrofurane, the clear solution so obtained was concentrated to dryness, the solid residue was dissolved in 100 ml of ethyl acetate, and the latter was washed three times with 5% sodium bicarbonate solution, then three times with water, which resulted in 1,08 g of palmitoyl-N-hydroxy-succinimide end product in white, crystalline form. Its purity was checked by TLC and IR.

- c) 250 mg of poly(ε)-L-lysine-hydrogen-bromide prepared according to the method described in Example 1a) was dissolved in 1,5 ml of water, pH of the solution was adjusted to 8, by adding 1N NaOH, under vigorous stirring, then the solution was cleared up by adding 1,5 ml of tetrahydrofurane and 0,5 ml of dimethyl formamide, and after addition of 50 mg of sodium bicarbonate under vigorous stirring, 28 mg of palmitoyl-N-hydroxy-succinimide, freshly prepared according to Example 1b), and dissolved in 0,2 ml of 6:1 tetrahydrofurane/dimethyl formamide mixture, was added to the reaction mixture, followed by 4 hours of vigorous stirring, while the pH was kept on 8, by dropping 5N sodium hydroxide into the solution, and it was left standing for 12 hours at +4°C, afterwards. Following this 0,1 ml of azeotropic hydrogen bromide solution was added dropwise, then the reaction mixture was poured into an excess (20 ml) of tetrahydrofurane, the precipitate was washed with tetrahydrofurane three-four times, until it became powdery, then the latter was washed two times with diethylether and dried subsequently; the palmitoyl-poly(ε)-L-lysine-hydrogen-bromide end product was obtained this way. The yield was 245 mg. Free amino groups of the product were checked by trinitrobenzene sulfonic acid analysis, according to which the degree of substitution was 12±2%. By increasing the amount of palmitoyl-N-hydroxy-succinimide reactant, the degree of substitution also increased, and reached the necessary % level.

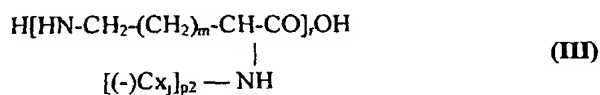
## Example 2

### Preparation of hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide

The reaction scheme:



Formula of hydrobromide salt of hemisuccinyl-poly-(ε)-L-lysine - according to the general formula (III) of the new compounds of the invention - is:



wherein:

"m" = 3, "r" =  $99 \pm 2$ ;

"(-)C" =  $\text{—OC—CH}_2\text{—CH}_2\text{—COOH}$ ;

"j" = 1; i.e. only one single kind of connecting molecules is being linked by covalent bonds to the carrier molecule, and

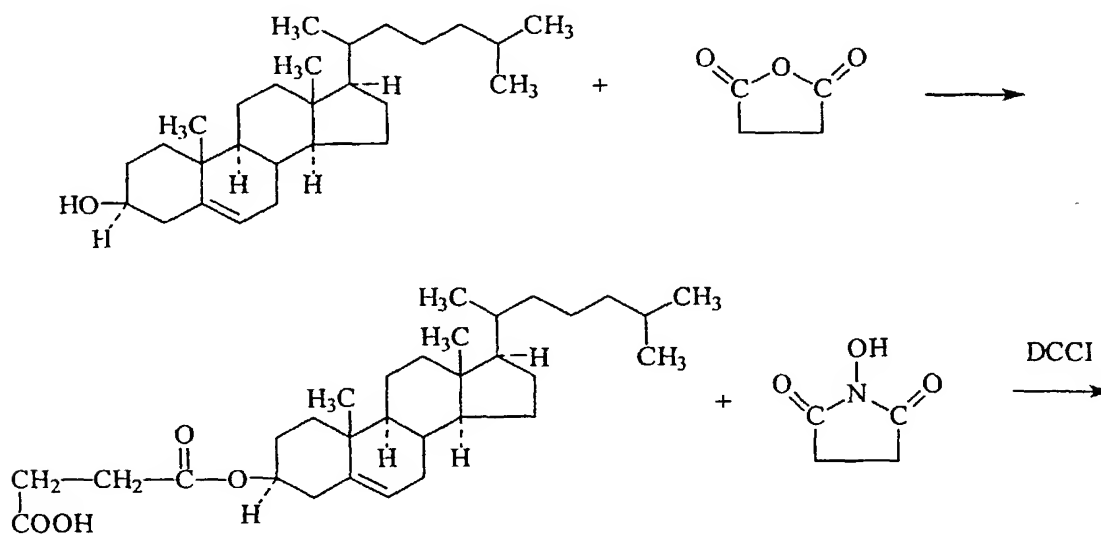
"p<sub>2</sub>" =  $20 \pm 2$  %.

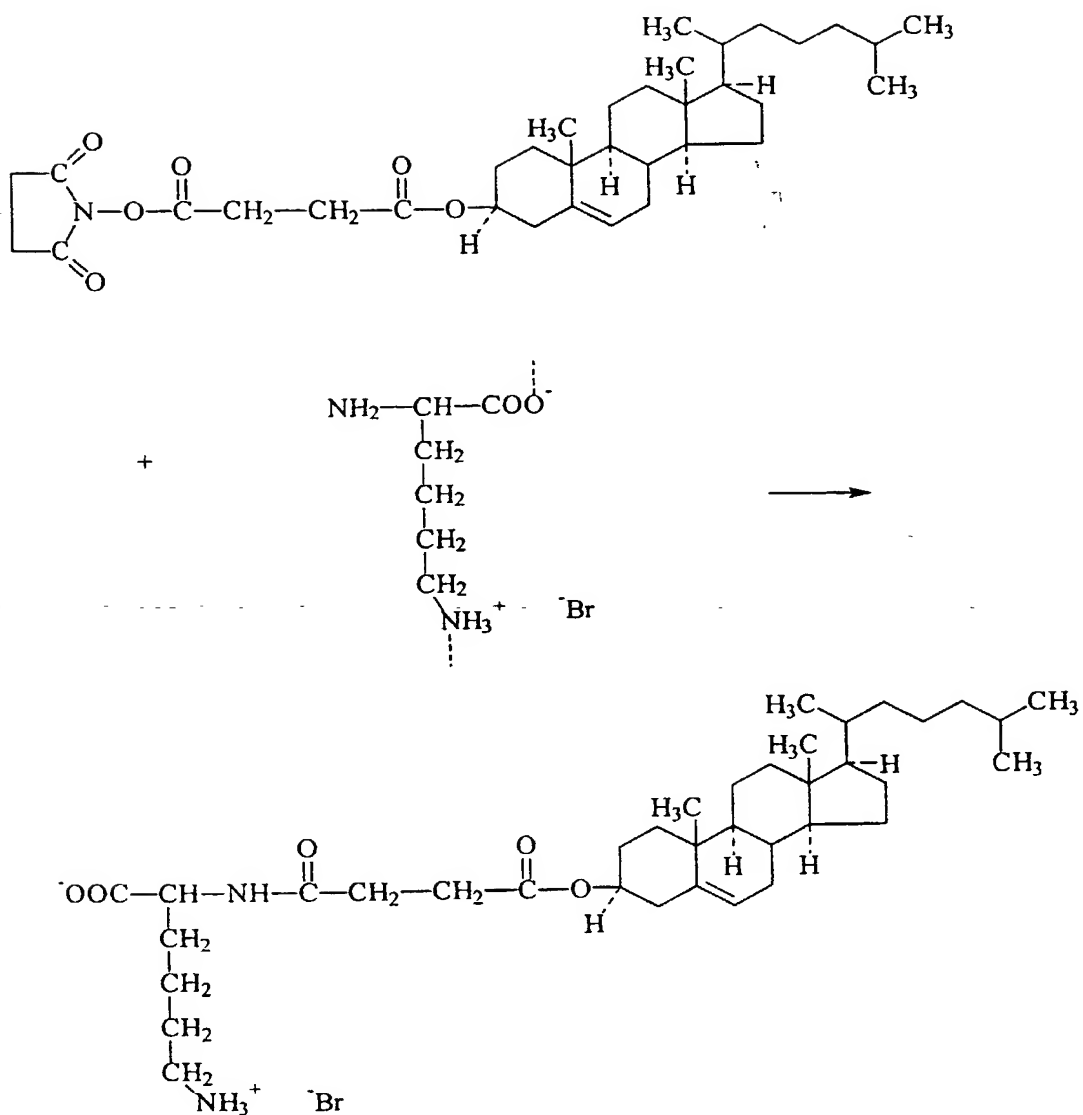
- Poly-( $\epsilon$ )-L-lysine-hydrogen-bromide, as being a carrier molecule of general formula (I/a) of the invention, was synthesized according to Example 1a) of the present patent application.
- 60 mg of poly-( $\epsilon$ )-L-lysine-hydrogen-bromide prepared according to Example 1a) was dissolved in 2 ml of water, and the pH of the solution was adjusted to 8, by adding 1N sodium hydroxide, under vigorous stirring, then 30 mg of freshly prepared succinic anhydride was added in portions to the solution, in about 40 minutes time, whereas keeping the pH on 8 was accomplished by dropping 5N NaOH, followed by stirring for 40 minutes, additionally, and at the end of the reaction pH of the mixture was lowered to 4,5 by 6N hydrochloric acid, then it was filled into a dialysing bag and has been dialysed against water for 48 hours, subsequently at +4°C temperature, by changing four times the water, the hemisuccinyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide salt end product was isolated by freeze-drying. The yield was 46 mg. Free amino groups of the product were checked by trinitro benzene sulfonic acid analysis, according to which the degree of substitution was 20%. By increasing the amount of succinic acid, degree of substitution has also been increased, and reached a proper, necessary % level.

### Example 3

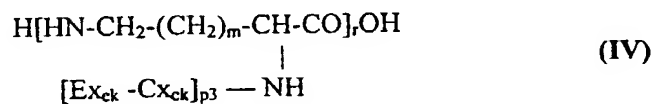
Preparation of cholesterol-hemisuccinyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide

The reaction scheme:





Formula of hydrobromide salt of cholesterol-hemisuccinyl-poly-(ε)-L-lysine – according to the general formula (IV) of the new polycation bioconjugates of the invention – is:



wherein:

"m" = 3, "r" = 99 ± 2;

"C" = —OC—CH<sub>2</sub>—CH<sub>2</sub>—CO—;

"E" = cholesterol;

"ek" = "ck" = 1; i.e. only one single kind of enhancer and of connecting molecules is being linked by covalent bonds to the carrier molecule, and

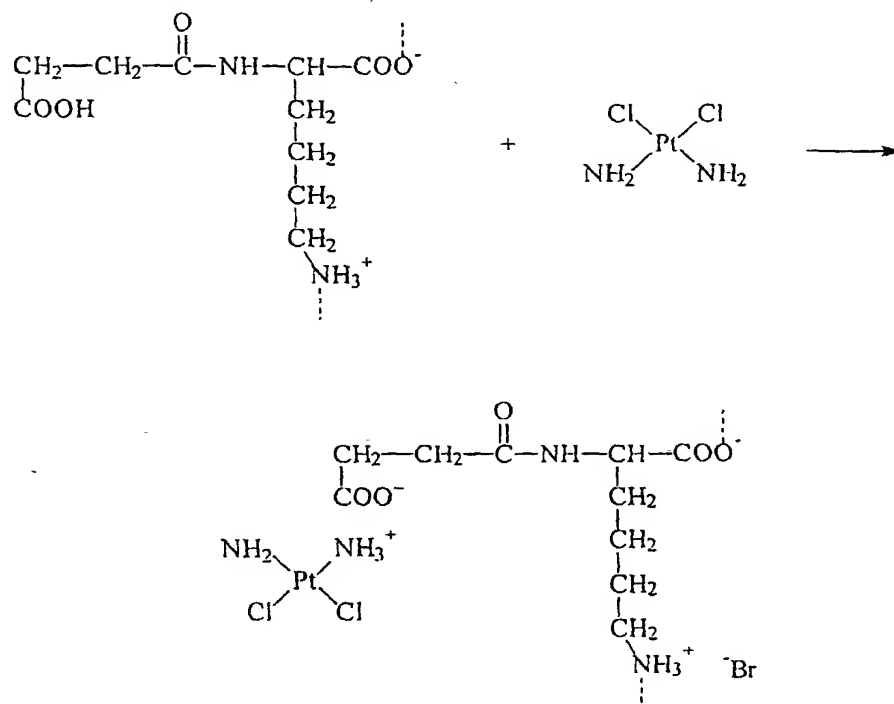
"p<sub>3</sub>" = 15 ± 2 %.

- a) Poly-(ε)-L-lysine-hydrogen-bromide, as being a carrier molecule of general formula (I/a) of the invention, was synthesized according to Example 1a) of the present patent application.
- b) preparation of cholesterol-hemisuccinate-N-hydroxy-succinimide ester needed to the conjugation: 0,98 g (2 mmole) of commercially available (eg. from Sigma) cholesterol-hemisuccinate and 0,23 g (2 mmole) of N-hydroxy-succinimide were dissolved in 10 ml of abs. tetrahydrofurane, 0,41 g (2 mmole) of dicyclohexyl-carbodiimide was then added, and the mixture was stirred at 0°C, for 4 hours, then left standing for 12 hours, and the thus formed precipitate dicyclohexyl-carbamide was glass-filtered in vacuo, washed three times with tetrahydrofurane, and the clear solution so obtained was concentrated to dryness, the solid residue was dissolved in 50 ml of ethyl acetate, and the latter was washed three times with 5% sodium bicarbonate solution, then three times with water. Subsequently it was dried with adding sodium sulfate sicc., followed by washing with ethyl acetate and concentration of the solution. The cholesterol-hemisuccinate-N-hydroxy-succinimide ester end product was obtained in the form of white, crystalline material. The yield was 0,73 g. Purity was checked by TLC and by IR.
- c) 240 mg of poly-(ε)-L-lysine-hydrogen-bromide salt synthesized according to Example 3a) was dissolved in 4,8 ml of water and the pH of the solution was adjusted to 8, by 1N sodium hydroxyde, under vigorous stirring, and by adding 1,6 ml of tetrahydrofurane a clear solution was obtained, then 200 mg of sodium bicarbonate was poured into it under vigorous stirring, by adding of 50 mg cholesterol-hemisuccinate-N-hydroxy-succinimide ester, freshly prepared according to Example 3b), dissolved in 2,4 ml tetrahydrofurane, and the solution was kept strongly stirred at room temperature for 4 hours, while keeping the pH at a value of 8 by dropping 5N sodium hydroxyde into the solution. Subsequently it was left standing for 12 hours at +4 °C, then 0,3 ml of azeotropic hydrogen bromide was dropped in, and the reaction mixture was poured into an excess (30 ml) of tetrahydrofurane. The precipitate was washed thereafter with tetrahydrofurane three-to-four times, until it became powdery. The latter was washed with diethyl ether two times, dried, and the cholesterol-hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide salt end product was obtained this way with 275 mg yield. Free amino groups of the product were checked by trinitro benzene sulfonic acid analysis, according to which the degree of substitution was 15±2%. By increasing the amount of cholesterol-hemisuccinate-N-hydroxy-succinimide ester, the degree of substitution also increased, and reached a proper, necessary % level.

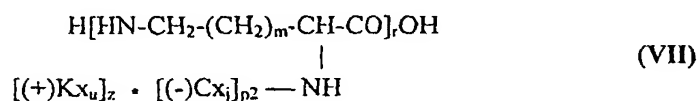
## Example 4

## Preparation of poly-(ε)-L-lysine-cisplatin-hydrogen-bromide

The reaction scheme:



Formula of poly-(ε)-L-lysine-cisplatin-hydrogen-bromide – according to the new polycation bioconjugates of general formula (VII) of the invention – is:



wherein:

"m" = 3, "r" = 99 ± 2,

"(-)C" =  $-\text{OC}-\text{CH}_2-\text{CH}_2-\text{COO}^-$ ,

"(+)K" = cisplatin,

"u" = "j" = 1; i.e. only one single kind of enhancer and of connecting molecules, respectively are being linked ionic bonds to the carrier molecule, and

"u" = "p<sub>2</sub>" = 80 ± 2 %.

10 mg cisplatin (Platidium®, manufacturer: Lachema, Czech Rep.) was dissolved in 3 ml of water and to this solution 23 mg of hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide salt of 80% succinylation, prepared according to Example 1 of the present patent application, was

added, the solution was left standing for 48 hours at +4 °C, then it was filled into a dialysing bag and has been dialysed against water for 48 hours at +4°C temperature, by changing the water four times, the poly-(ε)-L-lysine-cisplatin-hydrogen-bromide end product was subsequently isolated by freeze-drying. The yield was 15 mg. The Pt-content (i.e. the content of cisplatin) of the product was assayed by atomic absorption spectroscopy, according to which the Pt/Br mass ratio=16; the molar ratio=6,5; the Pt-content=2,7 mg/g; the amount of cisplatin in the poly-(ε)-L-lysine-cisplatin-hydrogen-bromide molecule has been proportional to the degree of succinylation of hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide.

### BIOLOGICAL EXAMINATIONS

The tumor inhibitory effect of the polycation bioconjugates, which is a part of the subject of the invention, were studied, *in vitro*, on tumor cell cultures and *in vivo*, on transplantable rodent tumors. The *in vitro* inhibitory effect on cell proliferation and the *in vivo* tumor growth inhibitory effect of the different polycation bioconjugates, prepared according to the invention, was compared to the untreated control ones.

The biological experiments were carried out with the compounds, that were prepared according to the examples No. 1, 2, 3 and 4 of the invention, namely

- palmitoyl-poly-(ε)-L-lysine-hydrogen-bromide,
- hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide,
- cholesterol-hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide,
- poly-(ε)-L-lysine-cisplatin-hydrogen-bromide.

#### *In vitro* experiments

*In vitro* experiments were carried out with palmitoyl-poly-(ε)-L-lysine-hydrogen-bromide, hemisuccinyl-poly-(ε)-L-lysine-hydrogen-bromide and poly-(ε)-L-lysine-cisplatin-hydrogen-bromide.

#### Cell lines used in the experiments:

- P388 mouse lymphocytic leukemia cell line, originated from Arthur D. Little Inc., Cambridge, Mass., USA, *in vitro* established in the National Institute of Oncology, Budapest, Hungary (Cancer Treat. Rep. 1986; 70: 279-284);
- MCF-7 humane breast cancer cell line, originated from American Type Culture Collection;
- PC3 humane prostate cancer cell line, originated from American Type Culture Collection;

#### Methods used in the *in vitro* experiments:

##### 1./ Clonogenic assay:

MCF-7, or PC3 cells were plated into petri dishes, then in adequate medium and conditions, were incubated the cells with serial dilutions of the different polycation bioconjugates, prepared according to the invention. The colonies, consisting minimum 30 cells were then counted and the obtained values of three parallel petri dishes were averaged. Relative cloning efficiency was calculated by taking the control values as 100 per cent. (Cancer Detection and Prevention, 20(2): 146-152, 1996).

##### 2./ Inhibition of proliferation:

- Sulforodamine B (SRB) assay: approximately one to two thousand cells were put into each well of the special, small plastic tray (micro-well-plates). The cells were incubated in

adequate medium and conditions and after adhering to the surface, were treated with serial dilutions of the different polycation bioconjugates, prepared according to the invention. At the end of the experiment the cells were fixed, stained with SRB, and the optical density, which is in direct proportion to the cell proliferation, was read in a CLS 962 ELISA microplate reader. Relative inhibition of proliferation was calculated by taking the control values as 100 per cent. (Cancer Detection and Prevention, 20(2): 146-152, 1996).

- Cell counting method, with Neubauer-type chamber: in suspension cultures of exponentially growing cells, which were in adequate medium and conditions, were treated with serial dilutions of the different polycation bioconjugates, prepared according to the invention, and 24- and 48 hours following the treatment the cells were stained with tripan-blue, the treated and control cells were counted in a modified Neubauer-type hemocytometer chamber. Relative inhibition of proliferation was calculated by taking the control values as 100 per cent.

#### *In vivo* experiments

The *in vivo* experiments were carried out with palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide and cholesterol-hemisuccinyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide as a monotherapy, and together with Cytoxan® (Bristol-Myers) as combination therapy, and the compounds were administered in schedules of single or repeated dose therapy, and they were applied intravenously or intraperitoneally.

The tumor growth inhibitory effect of the polycation bioconjugates was studied on the following transplantable rodent tumors:

- P-388 lymphoid leukemia cells, originated from Cambridge, Mass., USA, were transplanted *i.p.* and *s.c.* into animals: BDF1 inbred male mice, weighing 22-24 g, specified pathogen free (SPF) breedings;

- S-180 sarcoma, originated from Chester Beatty I., London, were transplanted *s.c.* into animals: BDF1 inbred male mice, weighing 22-24 g, specified pathogen free (SPF) breedings.

### Results of the biological experiments

#### *In vitro* experiments

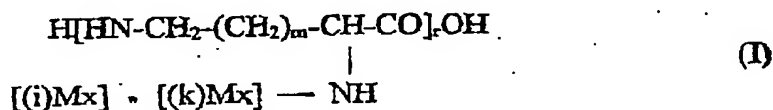
On tumor cell cultures, *in vitro*, the palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide, cholesterol-hemisuccinyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide and poly-( $\epsilon$ )-L-lysine-cisplatin-hydrogen-bromide exert a dose dependent inhibition on the colony formation and the cell proliferation, the most effective inhibition have been shown by the palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide, and poly-( $\epsilon$ )-L-lysine-cisplatin-hydrogen-bromide.

#### *In vivo* experiments

On the basis of mean tumor volumes and tumor growth curves we observed a significant inhibitory effect of the palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide and cholesterol-hemisuccinyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide on transplantable rodent tumors, furthermore the combined treatment with palmitoyl-poly-( $\epsilon$ )-L-lysine-hydrogen-bromide plus Cytoxan® significantly inhibited the tumor growth and significantly increased the life span of the experimental animals inoculated with tumor, compared to the untreated control, as well as to the animals which were treated only with Cytoxan®.



1) Polycation bioconjugates characterized in that each of them contains isopolypeptide polycation carrier molecules having free  $\alpha$ -amino groups, and these carrier molecules are conjugated by chemical bonds with suitably selected molecules which may either be identical ones or of (two or more i.e. "x") different kind, bearing functional groups appropriate for conjugation, and the polycation bioconjugates synthesized this way can be described by the general formula (I):



wherein:

"r" designates the number of diamino-monocarbonic acyl group monomers which is between 20 and 400 as a mean value.

"m" = 0, 1, 2, 3, ... k,

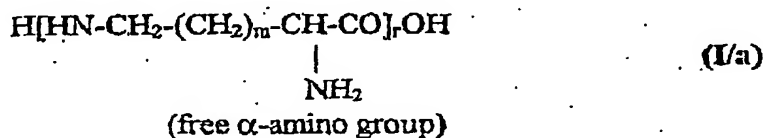
"[(k)Mx]" designates enhancer molecules and/or connecting molecules conjugated by covalent (=k) bonds to the isopolypeptide polycation carrier molecule, and

"[(i)Mx]" designates enhancer molecules conjugated by ionic (=i) bonds to the isopolypeptide polycation carrier molecule, whereas the said enhancer molecules and connecting molecules having appropriate functional groups for conjugation may either be identical ones or of (two or more i.e. "x") different kind, and the enhancer molecules can be conjugated:

- directly and/or
- indirectly through a connecting molecule,

and further the joint occurrence of [(k)Mx] and [(i)Mx] within the same polycation bioconjugate is symbolized by [(k/i)Mx].

2) Polycation bioconjugates of general formula (I), prepared according to Claim 1, characterized in that the isopolypeptide polycation carrier molecules, which are included in each of polycation bioconjugates, synthesized from diamino-monocarbonic acyl group monomers, are of the same configuration (i.e. either D-, or L-), and the individual monomers are not linked together by their amino groups in the  $\alpha$ -positions, but by those in other (i.e. in  $\beta$ -,  $\gamma$ -,  $\delta$ -,  $\epsilon$ -...etc.) positions - according to the value of "m", and thus the isopolypeptide polycation carrier molecules (further on: carrier molecules), having free  $\alpha$ -amino groups, are of general formula (I/a):



wherein

"r" and "m" have the same meaning as in general formula (I).

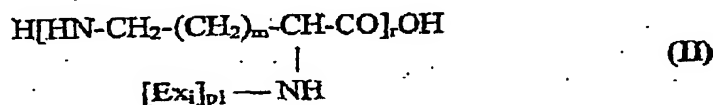
3) Polycation bioconjugates of general formula (I), prepared according to Claim 1, characterized in that suitably selected [(k)Mx] and/or [(i)Mx] molecules, which may either be identical ones or of (two or more i.e. "x") different kind, are conjugated to a given representative of carrier molecules of general formula (I/a), by covalent and/or ionic bonds.

4) Polycation bioconjugates of general formula (I), according to Claim 3, characterized in that the conjugation of the  $[(k)Mx]$  and/or  $[(i)Mx]$  molecules to a given representative of carrier molecules of general formula (I/a), by covalent and/or ionic bonds takes place directly and/or indirectly in a definite ratio, preferably to reach a saturation of 10 to 100 %.

5) Polycation bioconjugates of general formula (I), prepared according to Claim 1, characterized in that they include those bioconjugates in which a given representative of carrier molecules of general formula (I/a) is directly conjugated by covalent bonds with  $[Ex_i]$  enhancer molecules, which may either be identical ones or of (two or more i.e. "x") different kind, and in these bioconjugates:

$$[(k)Mx] = [Ex_i]_{p1},$$

and the polycation bioconjugates are being described by the general formula (II):



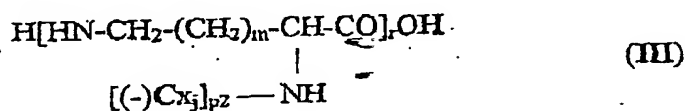
wherein:

- "Ex" in  $[Ex_i]_{p1}$  designates the Ex enhancer molecules of different ("x") kind conjugated directly to a given representative of carrier molecules of general formula (I/a) by covalent bonds, and
- "i" indicates whether the Ex enhancer molecules, conjugated to the given carrier molecule by covalent bonds, are identical ones ( $i = 1$ ), or they are of different kind according to the number "i" ( $i = 2, 3, \dots, "x"$ ), and
- "p<sub>1</sub>" indicates a degree of saturation in % of a carrier molecule of general formula (I/a) with  $[Ex_i]$  enhancer molecules, the value of which is  $> 0$  and  $\leq 100$ , whereby the ratio between the free (not involved in chemical bonds) and bound  $NH_2$ -groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates, and
- "r" and "m" have the same meaning as in general formula (I).

6) Carrier molecules of general formula (I/a), prepared according to Claim 2, characterized in that a given representative of them are conjugated by covalent bonds with  $[(-)Cx_j]$  connecting molecules of anionic character, which may either be identical ones or of (two or more i.e. "x") different kind, and the connecting molecules are suitably chosen dicarbonic acids, tricarbonic acids, carbohydrates, or amino acids, or peptide chain elongators, and in these compounds:

$$[(k)Mx] = [(-)Cx_j]_{p2}$$

and the conjugates are being described by the general formula (III):



wherein:

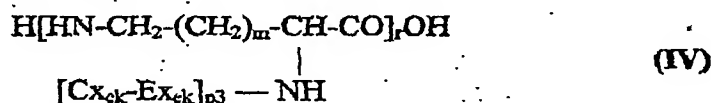
- "(-)Cx" in  $[(-)Cx_j]_{p_2}$  designates (-)Cx connecting molecules of exclusively anionic character of different ("x") kind linked to a given representative of carrier molecules of general formula (I/a) by covalent bonds, and
- "j" indicates whether the (-)Cx connecting molecules, conjugated to the given carrier molecule by covalent bonds, are identical ones ( $j = 1$ ), or they are of different kind according to the number "j" ( $j = 2, 3, \dots "x"$ ), and
- "p<sub>2</sub>" indicates a degree of saturation in % of a carrier molecule of general formula (I/a) by  $[(-)Cx_j]$  connecting molecules of exclusively anionic character, the value of which is  $> 0$  and  $\leq 100$ , whereby the ratio between the free (not involved in chemical bonds) and bound  $NH_2$  - groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates, and
- "r" and "m" have the same meaning as in general formula (I).

7) Conjugates of general formula (III), according to Claim 6, characterized in that the carrier molecules of general formula (I/a) of cationic character, due to conjugation of the  $[(-)Cx_j]$  connecting molecules of anionic character to them by covalent bonds, become capable of building up such polycation bioconjugates, in which additional possibilities arise to establish ionic bonds with enhancer molecules of cationic character.

8) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they include those bioconjugates in which a given representative of carrier molecules of general formula (I/a) is indirectly conjugated with  $[Ex_{ek}]$  enhancer molecules, which may either be identical ones or of (two or more i.e. "x") different kind, through  $[Cx_{ck}]$  connecting molecules, which may also be either identical ones or of (two or more i.e. "x") different kind, and in these bioconjugates both of the chemical bonds between the carrier molecule and  $[Cx_{ck}]$ , as well as between the  $[Cx_{ck}]$  and  $[Ex_{ek}]$  are covalent ones, and in these bioconjugates:

$$[(k)Mx] = [Cx_{ck}-Ex_{ek}]_{p_3},$$

and the polycation bioconjugates are being described by the general formula (IV):



wherein:

- "Cx-Ex" in  $[Cx_{ck}-Ex_{ek}]_{p_3}$  designates the Ex enhancer molecules of different ("x") kind, conjugated by covalent bonds indirectly, through Cx connecting molecules of different ("x") kind, that are also conjugated by covalent bonds to a given representative of carrier molecules of general formula (I/a), and
- "ck" indicates whether the Cx connecting molecules, conjugated to the given carrier molecule by covalent bonds, are identical ones ( $ck = 1$ ), or they are of different kind according to the number "ck" ( $ck = 2, 3, \dots "x"$ ), and
- "ek" indicates whether the Ex enhancer molecules, conjugated to the given carrier molecule indirectly through Cx connecting molecules by covalent bonds, are identical ones ( $ek = 1$ ), or they are of different kind according to the number "ek" ( $ek = 2, 3, \dots "x"$ ),
- "p<sub>3</sub>" means a degree of saturation in % of a carrier molecule by  $[Ex_{ek}]$  enhancer molecules coupled to  $[Cx_{ck}]$  connecting molecules, the value of which is  $> 0$  and  $\leq 100$ , whereby the

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ratio between the free (not involved in chemical bonds) and bound  $\text{NH}_2$ -groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates, and further "r" and "m" have the same meaning as in general formula (I).

9) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they include those bioconjugates in which to a given representative of carrier molecules of general formula (Ia), are conjugated by covalent bonds

a/  $[\text{Ex}_i]$  enhancer molecules and/or

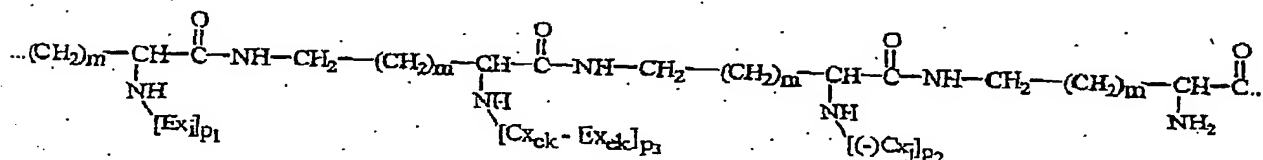
b/  $[(-)\text{Cx}_j]$  connecting molecules of anionic character and/or

c/  $[\text{Cx}_{ek}-\text{Ex}_{ek}]$  indirectly coupled enhancer molecules

which may either be identical ones or of (two or more i.e. "x") different kind, with the proviso, that from among the  $[\text{Ex}_i]$  and/or  $[(-)\text{Cx}_j]$  and/or  $[\text{Cx}_{ek}-\text{Ex}_{ek}]$  types of molecules at least two are contained in the bioconjugate, and in these bioconjugates:

$$\begin{aligned} [(k)\text{Mx}] = & [\text{Ex}_i]_{p1} + [(-)\text{Cx}_j]_{p2}, \text{ or} \\ & [\text{Ex}_i]_{p1} + [\text{Cx}_{ek}-\text{Ex}_{ek}]_{p3}, \text{ or} \\ & [\text{Cx}_{ek}-\text{Ex}_{ek}]_{p3} + [(-)\text{Cx}_j]_{p2}, \text{ or} \\ & [\text{Ex}_i]_{p1} + [\text{Cx}_{ek}-\text{Ex}_{ek}]_{p3} + [(-)\text{Cx}_j]_{p2}, \end{aligned}$$

and the polycation bioconjugates are being described by the schematic formula (V):



(V)

wherein:

" $[\text{Ex}_i]_{p1}$ "

has the same meaning as in general formula (II),

" $[(-)\text{Cx}_j]_{p2}$ "

has the same meaning as in general formula (III),

" $[\text{Cx}_{ek}-\text{Ex}_{ek}]_{p3}$ "

has the same meaning as in general formula (IV),

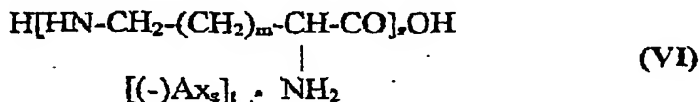
"m" has the same meaning as in general formula (I), further the value of

" $p_1$ "+" $p_2$ "+" $p_3$ "  $> 0$  and  $\leq 100$ , and from among " $p_1$ ", " $p_2$ " and " $p_3$ " the value of at least two are greater than 0; further in a given polycation bioconjugate the Ex molecules in  $[\text{Ex}_i]$ , and the  $(-)\text{Cx}$  molecules in  $[(-)\text{Cx}_j]$  are not necessarily identical with those Ex and Cx molecules occurring in  $[\text{Cx}_{ek}-\text{Ex}_{ek}]$ , which divergence is symbolized by "x".

10) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they include those bioconjugates in which a given representative of carrier molecules of general formula (Ia) is directly conjugated, exclusively by ionic bonds with  $[(-)\text{Ax}_k]$  enhancer molecules of anionic character, which may either be identical ones or of (two or more i.e. "x") different kind, and in these bioconjugates

$$[(i)\text{Mx}] = [(-)\text{Ax}_k]_i$$

and the polycation bioconjugates so obtained can be described by the general formula (VI):



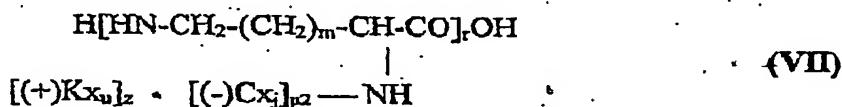
wherein:

- "(-)Ax" in  $[(-)\text{Ax}_s]_t$  designates those (-)Ax enhancer molecules of anionic character, which may either be identical ones or of (two or more i.e. "x") different kind, that are conjugated to a given representative of carrier molecules of general formula (I/a) by ionic bonds, and
- "s" indicates whether the anionic/polyanionic molecules, conjugated to the given carrier molecule by ionic bonds, are identical ones ( $s = 1$ ), or, they are of different kind according to the number "s" ( $s = 2, 3, \dots, "x"$ ), and
- "t" means a degree of saturation in % of the given representative of carrier molecules of general formula (I/a) by  $[(-)\text{Ax}_s]$  anions, the value of which is  $> 0$  and  $\leq 100$ , whereby the ratio between the free (not involved in chemical bonds) and bound  $\text{NH}_2$ -groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates, and
- "r" and "m" have the same meaning as in general formula (I).

11) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they include conjugates of general formula (III), prepared according to Claim 6, and these are conjugated with  $[(+)\text{Kx}_u]$  enhancer molecules of cationic character, which may either be identical ones or of (two or more i.e. "x") different kind, by ionic bonds via the  $[(-)\text{Cx}_j]$  connecting molecules of anionic character, and in these bioconjugates



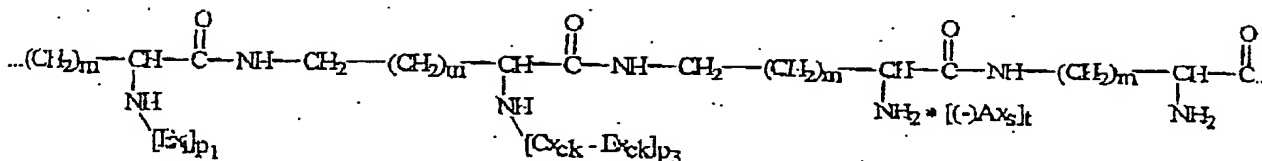
and the polycation bioconjugates are being described by the general formula (VII):



wherein:

- "(+)"Kx" in  $[(+)\text{Kx}_u]_z$  designates the (+)Kx enhancer molecules of cationic character, which may either be identical ones or of (two or more i.e. "x") different kind, that are conjugated to a given representative of conjugates of general formula (III), and
- "u" indicates whether the cationic/polycationic molecules linked to the given conjugate of general formula (III) by ionic bonds, are identical ones ( $u = 1$ ), or they are of different kind according to the number "u" ( $u = 2, 3, \dots$  i.e. "x"), and
- "z" means a degree of saturation in % of the given representative of conjugates of general formula (III) by  $[(+)\text{Kx}_u]$  cations, the value of which is  $> 0$  and  $\leq 100$ , whereby the ratio between the free (not involved in chemical bonds) and bound  $\text{NH}_2$ -groups is determined, which in turn influences the charge and the cationic character of the polycation bioconjugates, and as the  $[(+)\text{Kx}_u]$  molecules of cationic character can exclusively be





(IX/a)

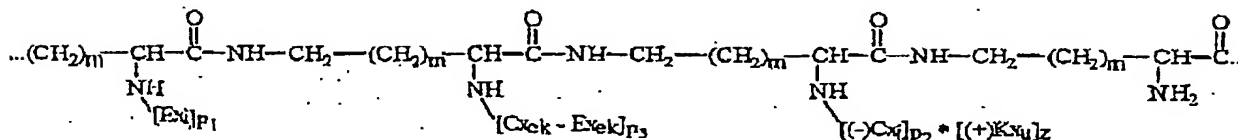
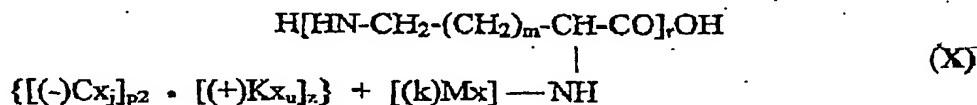
wherein:

- "[(-)Ax<sub>s</sub>]<sub>t</sub>" has the same meaning as in general formula (VI),  
 "[Ex<sub>i</sub>]<sub>p1</sub>" has the same meaning as in general formula (II),  
 "[Cx<sub>ck</sub>-Ex<sub>ck</sub>]<sub>p3</sub>" has the same meaning as in general formula (IV),  
 "[(k)Mx]", "m", "r" have the same meaning as in general formula (I), further  
 "p<sub>1</sub>" + "p<sub>3</sub>" + "t" > 0 and ≤ 100, and from among  
 "p<sub>1</sub>" and "p<sub>3</sub>" the value of at least one > 0; and  
 "t" > 0.

14) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they include polycation bioconjugates of schematic formula (V), prepared according to Claim 9, in which there are [(-)Cx<sub>j</sub>] connecting molecules of anionic character which may either be identical ones or of two or more "x" different kind, and these are conjugated with [(+)Kx<sub>u</sub>] enhancer molecules of cationic character which may either be identical ones or of (two or more i.e. "x") different kind, by ionic bonds, and in these bioconjugates

$$\begin{aligned} [(k/i)Mx] = & [\text{Ex}_i]_{p1} + \{ [(-)Cx_j]_{p2} \cdot [(+)Kx_u]_z \} \text{ or} \\ & [\text{Cx}_{ck}-\text{Ex}_{ck}]_{p3} + \{ [(-)Cx_j]_{p2} \cdot [(+)Kx_u]_z \}, \text{ or} \\ & [\text{Ex}_i]_{p1} + [\text{Cx}_{ck}-\text{Ex}_{ck}]_{p3} + \{ [(-)Cx_j]_{p2} \cdot [(+)Kx_u]_z \} \end{aligned}$$

and the polycation bioconjugates are being described by the general formula (X), or by the schematic formula (X/a):



(X/a)

wherein:

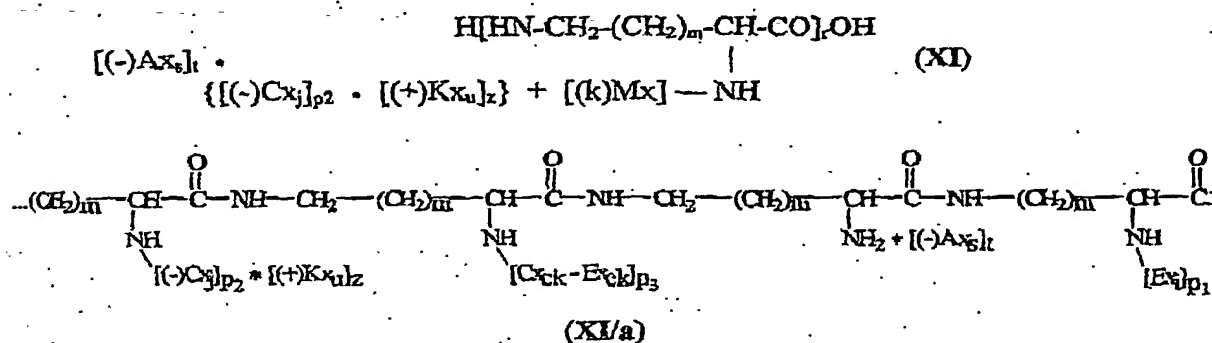
- "[(-)Cx<sub>j</sub>]<sub>p2</sub> · [(+)Kx<sub>u</sub>]<sub>z</sub>" has the same meaning as in general formula (VII),  
 "[Ex<sub>i</sub>]<sub>p1</sub>" has the same meaning as in general formula (II),  
 "[Cx<sub>ck</sub>-Ex<sub>ck</sub>]<sub>p3</sub>" has the same meaning as in general formula (IV),  
 "[(k/i)Mx]", "m", "r" have the same meaning as in general formula (I), further

"p<sub>1</sub>" + "p<sub>3</sub>" + "z" > 0 and ≤ 100, and from among  
 "p<sub>1</sub>" and "p<sub>3</sub>" the value of at least one > 0; and  
 "z" = "p<sub>2</sub>" > 0.

15) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they include those bioconjugates in which to the free α-amino groups of a given representative of polycation bioconjugates of general formula (X), or of schematic formula (X/a), prepared according to Claim 14, as to a polycation, additional [(-)A<sub>x<sub>6</sub></sub>]<sub>t</sub> enhancer molecules of anionic character which may either be identical ones or of (two or more i.e. "x") different kind, are conjugated by ionic bonds, and in these bioconjugates

$$[(k/i)Mx] = [Ex_i]_{p_1} + \{[(-)Cx_j]_{p_2} \cdot [(+)Kx_u]_z\} \cdot [(-)Ax_s]_t \text{ or} \\ [Cx_{ck}-Ex_{ck}]_{p_3} + \{[(-)Cx_j]_{p_2} \cdot [(+)Kx_u]_z\} \cdot [(-)Ax_s]_t \text{ or} \\ [Ex_i]_{p_1} + [Cx_{ck}-Ex_{ck}]_{p_3} + \{[(-)Cx_j]_{p_2} \cdot [(+)Kx_u]_z\} \cdot [(-)Ax_s]_t$$

and the polycation bioconjugates are being described by the general formula (XI), or by the schematic formula (XI/a):



wherein:

"[(-)A<sub>x<sub>6</sub></sub>]<sub>t</sub>" has the same meaning as in general formula (VI),  
 "[(-)C<sub>x<sub>j</sub></sub>]<sub>p<sub>2</sub></sub> · [(+)K<sub>x<sub>u</sub></sub>]<sub>z</sub>" has the same meaning as in general formula (VII),  
 "[Ex<sub>i</sub>]<sub>p<sub>1</sub></sub>" has the same meaning as in general formula (II),  
 "[Cx<sub>ck</sub>-Ex<sub>ck</sub>]<sub>p<sub>3</sub></sub>" has the same meaning as in general formula (IV),  
 "[[(k/i)Mx]", "m", "r" have the same meaning as in general formula (I), further  
 "p<sub>1</sub>" + "p<sub>3</sub>" + "t" + "z" > 0 and ≤ 100, and from among  
 "p<sub>1</sub>" and "p<sub>3</sub>" the value of at least one > 0; and  
 "t" > 0,  
 "z" = "p<sub>2</sub>" > 0."

16) Polycation bioconjugates, according to Claim 1, characterized in that in case the enhancer molecules conjugated to the suitably selected carrier molecule of general formula (I/a) themselves are not *ab ovo* possessing the wanted (eg. antiproliferative) activity, then as a consequence of their conjugation, they will boost the originally existing biological activity of the carrier molecule.



17) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that each of them contains carrier molecules of general formula (I/a), which are conjugated directly and/or indirectly, by covalent and/or ionic bonds, with enhancer molecules which may either be identical ones or of (two or more i.e. "x") different kind, having direct therapeutic effects against particular diseases, and the type of the biological activities of the polycation bioconjugates is determined by these conjugated enhancer molecules, whereas the bioavailability of the enhancer molecules is increased, due to the conjugation, so the polycation bioconjugates are more successfully applicable for treating such diseases than the particular enhancer molecules alone.

18) Polycation bioconjugates of general formula (I), according to Claim 17 characterized in that further enhancer molecules are additionally conjugated, which may either be identical ones or of (two or more i.e. "x") different kind, having affinity to particular molecules of cells/tissues/organs of the mammal organism, generating this way a selectivity or increasing the selectivity of the polycation bioconjugates towards certain target cells/tissues/organs.

19) Polycation bioconjugates of general formula (I), according to Claims 17 or 18 characterized in that they are more favourably applicable for the treatment of malignant tumors or infections, than the particular unconjugated enhancer molecules alone, from which the polycation bioconjugates are composed of

20) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that to a suitably selected given representative of the containing carrier molecules of general formula (I/a), as to a polycation, suitably selected nucleic acids – being a polyanion – is linked by ionic bonds, and therefore the polycation bioconjugate is becoming appropriate for gene transfer.

21) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that to a given representative of containing carrier molecules of general formula (I/a), certain paramagnetic contrast materials are conjugated, and additional enhancer molecules, that are capable of being selectively enriched in organs, tissues, or pathological changes (e.g. tumors) to be investigated, whereby the diagnostic value of nuclear magnetic resonance (NMR) imaging is improving.

22) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they are being introduced into the target organism on transdermal route via iontophoresis.

23) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that they are capable of being appropriately incorporated into liposomes.

24) Polycation bioconjugates of general formula (I), according to Claim 1, characterized in that these are being formulated in pharmaceutically acceptable forms, and the pharmaceutical preparates so obtained, are applicable perorally, or parenterally, or transdermally, for systemic or topical use.

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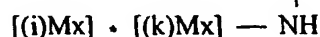
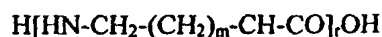
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(54) Title: METHOD FOR PREPARING POLYCATION BASED BIOCONJUGATES SUITABLE FOR TRANSPORTING DIF-  
FERENT KINDS OF ACTIVE SUBSTANCES WITHIN THE BODY



(I)

(57) Abstract: The invention relates to  
new polycation bioconjugates and to a  
method for producing them. New polycation  
bioconjugates according to the invention  
are characterized by that the comprised  
polycations are capable of transporting active  
substances of different types in the mammal  
organism, i.e. for functioning as carrier

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molecules, thus are able to enhance the biological effectiveness of the transported molecules, and consequently they can, for example, favourably inhibit malignant cell proliferation, or they possess antimicrobial effect, or are suitable for transportation of genes. A further characteristic of the polycation bioconjugates according to the invention is that each of them contains isopolypeptide carrier molecules, bearing free  $\alpha$ -amino group, as a common characteristic structural element. Enhancer molecules - same or different - having appropriate binding functions are coupled by chemical bonds directly and/or indirectly through connecting molecules - that may be identical or different ones - to the carrier molecule. Hence the polycation bioconjugates synthesized according to the invention are of general formula (I) wherein: "r" is a mean value between 20 and 400, "m"=0, 1, 2, 3, ...k, "[k]Mx]" designates enhancer molecules and/or connecting molecules conjugated by covalent (=k) bonds to the isopolypeptide polycation carrier molecule, and "[i]Mx]" designates enhancer molecules conjugated by ionic (=i) bonds to the isopolypeptide polycation carrier molecule, whereas the said enhancer molecules and connecting molecules having appropriate functional groups for conjugation may either be identical ones or of (two or more i.e. "x") different kinds, and the enhancer molecules can be conjugated directly and/or indirectly through a connecting molecule.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR PREPARING POLYCATION BASED BIOCONJUGATES SUITABLE FOR  
TRANSPORTING DIFFERENT KINDS OF ACTIVE SUBSTANCES WITHIN THE BODY

the specification of which was filed on June 28, 2000 as International Application No.  
PCT/HU00/00061.

In the event that the filing date and/or Application No. are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request my attorneys/agent(s) at **Covington & Burling**, 1201 Pennsylvania Avenue, N.W., Washington, DC 20004-2401, to insert above the filing date and/or Application No. of said application.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

## FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☐ no such foreign applications have been filed

☒ such foreign application have been filed as follows:

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
P 9902217	HU	June 29, 1999	<u> X </u> Yes No <u> </u>
			<u> </u> Yes No <u> </u>
			<u> </u> Yes No <u> </u>

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing

CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☒ no such U.S. provisional applications have been filed.

☐ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		<u> </u> Yes No <u> </u>
		<u> </u> Yes No <u> </u>
		<u> </u> Yes No <u> </u>

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the

first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

12 Paul J. Berman	<u>36,744</u>	Andrea G. Reister	<u>36,253</u>	Henry P. Ting	<u>46,278</u>
Kurt Geoffrey Calia	<u>38,702</u>	David P. Ruschke	<u>40,151</u>	Joseph E. Topmiller	<u>50,580</u>
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Jeannie M. Perron	<u>31,180</u>	Lee J. Tiedrich	<u>42,184</u>	Anthony S. Yoo	<u>45,540</u>

all of **Covington & Burling**, 1201 Pennsylvania Avenue, N.W., Washington, DC 20004-2401, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

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Residence	
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Mailing Address	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
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Citizenship	
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